

BRAMAN & ROGALSKYJ, LLP
ATTORNEYS AT LAWPO Box 352 216 Center Street
Canandaigua, New York 14424-0352**FACSIMILE COVER SHEET****Please deliver immediately.**

The accompanying message is intended only for the use of the individual or entity to which it is addressed and may represent an attorney-client communication or otherwise contain information that is privileged, confidential and exempt from disclosure under applicable law. If you are not the intended recipient, any duplication or distribution of this communication is unauthorized and prohibited. If you have received this communication in error, please notify us immediately by telephone (716-393-3000) and return the entire communication to us at the above address by mail at our expense.

TO: Office of Initial Patent Examination
Customer Service Center**TELECOPIER NUMBER:** 703-308-7751**Total Number of Pages (including this page):** 21**FROM:** Peter Rogalskyj**DATE:** July 3, 2002**COMMENTS:** Please see the attached FORMAL communication.

_____**Client/Matter Number:** 015.00390

In the event of transmission problems, please call 716-393-3000.
Return facsimile: 716-393-3001.

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s):

Docket No.

015.00390 (BREYNE 9)

Serial No.
09/706,122Filing Date
November 3, 2000Examiner
UnknownGroup Art Unit
1712

Invention:

NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM

I hereby certify that this Transmittal Letter (1 page)
(Identify type of correspondence)
is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-308-7751)
on July 3, 2002
(Date)

Peter Rogalskyj

(Typed or Printed Name of Person Signing Certificate)


(Signature)

Note: Each paper must have its own certificate of mailing.

TRANSMITTAL LETTER
(General - Patent Pending)Docket No.
015.00390 (BREYNE 9)

In Re Application Of:

Breyne et al.

Serial No.
09/706,122Filing Date
November 3, 2000Examiner
UnknownGroup Art Unit
1712

Title:

NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

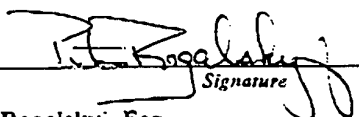
Transmitted herewith is:

Petition to Withdraw Holding of Abandonment (4 pages); copies of a Status Inquiry and of a date-stamped, returned postcard, acknowledging receipt of the Status Inquiry; copies of (i) a Submission of Missing Parts & Surcharge, (ii) a copy of the Notice to File Missing Parts, and (iii) a signed "Declaration in Original Application", each as mailed on March 23, 2001; a copy of the returned acknowledgment postcard bearing a date stamp of March 26, 2001; a copy of a redacted Monthly Statement of Deposit Account; and certificates of facsimile transmission for all

in the above identified application.

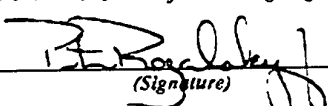
- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0772** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

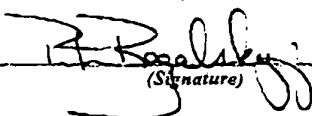
Dated: July 3, 2002



Signature
Peter Rogalskyj, Esq.
Reg. No. 38,601
Braman & Rogalskyj, LLP
P.O. Box 352
Canandaigua, New York 14424-0352
Tel.: 716-393-3004
Fax: 716-393-3001

cc:

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s):			015.00390 (BREYNE 9)
Serial No. 09/706,122	Filing Date November 3, 2000	Examiner Unknown	Group Art Unit 1712
Invention: NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM			
<p>I hereby certify that this <u>Petition to Withdraw Holding of Abandonment (4 pages)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-308-7751</u>)</p> <p>on <u>July 3, 2002</u> (Date)</p> <p><u>Peter Rogalskyj</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u></u> (Signature)</p>			
Note: Each paper must have its own certificate of mailing.			

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s):			015.00390 (BREYNE 9)
Serial No. 09/706,122	Filing Date November 3, 2000	Examiner Unknown	Group Art Unit 1712
Invention: NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM			
<p>I hereby certify that this <u>Copv of a Status Inquiry, as mailed on January 18, 2002 (1 page)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-308-7751</u>)</p> <p>on <u>July 3, 2002</u> (Date)</p> <p><u>Peter Rogalskyj</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u></u> (Signature)</p>			
<p>Note: Each paper must have its own certificate of mailing.</p>			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Breyne et al.
Serial No.: 09/706,122
Filing Date: November 3, 2000
Title: NAPHTHOPYRANS WITH A
HETEROCYCLE IN THE 5, 6-POSITION,
PREPARATION AND (CO)POLYMER
COMPOSITIONS AND MATRICES
CONTAINING THEM

Group Art Unit: TBA
Examiner: TBA
If Applicable
Appeal No.: n/a

Assistant Commissioner for Patents and Trademarks
Washington, DC 20231

STATUS INQUIRY

COPY

1. More than 14 months have passed since

☒ **NEW APPLICATIONS**

the filing of this application on November 3, 2000.

No communication has been received from the Patent and Trademark Office indicating action on this application.

☐ **AMENDED APPLICATIONS**

the filing of a response on _____.

No further communication has been received from the Patent and Trademark Office.

☐ **APPEALED APPLICATION**

the Appeal Brief was filed on _____.

☐ An Examiner's Answer was mailed on _____.

☐ A Reply to the Examiner's Answer was submitted on _____.

☐ **ALLOWED APPLICATIONS**

the mailing of FORM PTO-37 and/or Examiner's Amendment on _____.

2. Kindly advise the undersigned of the present status of this application.

Respectfully submitted,

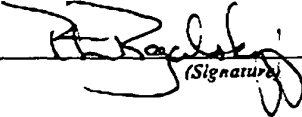
DATE: 1/17/02
ANN/cms

Date of Deposit: November 16, 2000

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

Signature _____

Angela N. Nwaneri
Attorney for Assignee
Angela N. Nwaneri
Reg. No. 34,229
Corning Incorporated
SP-TI-03
Corning, NY 14831
(607) 974-3455

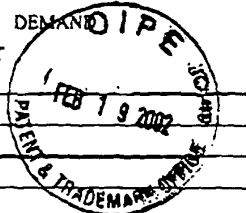
CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s):			015.00390 (BREYNE 9)
Serial No. 09/706,122	Filing Date November 3, 2000	Examiner Unknown	Group Art Unit 1712
Invention: NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM			
<p>I hereby certify that this <u>Copy of a date-stamped, returned postcard relating to the Status Inquiry (1 page)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-308-7751</u>)</p> <p>on <u>July 3, 2002</u> (Date)</p> <p><u>Peter Rogalskyj</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u></u> (Signature)</p>			
Note: Each paper must have its own certificate of mailing.			

Division 354T Date 1-19-02
INVENTOR Breyne et al
CASE No. 9
SERIAL No. 091 706, 122
FILED 11-5-00
ATTORNEY ANN

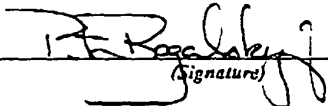
The Patent and Trademark Office acknowledges and has stamped
hereon the date of receipt of the items checked below:

- ☐ _____ PAGES, PROVISIONAL APPLICATION PAPERS
☐ _____ PAGES, APPLICATION PAPERS
TOTAL CLS. _____ FEE \$ _____
☐ _____ SHEETS OF DRAWINGS
FORMAL/INFORMAL FIGURE NOS. _____
☐ ASSIGNMENT ☐ DECLARATION/OATH
☐ POWER OF ATTORNEY
☐ PRIOR ART STATEMENT
☐ LETTER - CHARGE DEPOSIT ACCOUNT
☐ AMENDMENT ☐ RESPONSE
☐ ISSUE FEE
☐ CERT. OF CORR. ☐ NOTICE OF ERROR
☐ REQUEST ☐ DEMAND
☐ INVITATION TO CORRECT
☒ Status Inquiring

EXPRESS MAIL # _____



ET-149137

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s):			015.00390 (BREYNE 9)
Serial No. 09/706,122	Filing Date November 3, 2000	Examiner Unknown	Group Art Unit 1712
Invention: NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM			
<p>I hereby certify that this</p> <p>Copies of (i) a "Submission of Missing Parts & Surcharge; (ii) a copy of the January 25, 2001, Notice to File Missing Parts; and (iii) a signed "Declaration in Original Application", each as mailed on March 23, 2001 (4 pages total)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-308-7751</u>)</p> <p>on <u>July 3, 2002</u> (Date)</p> <p><u>Peter Rogalskyj</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u></u> (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p>			

PATENT
Breyne 9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Olivier Breyne et al.

SUBMISSION of
MISSING PARTS

Serial No.: 09/706,121

Group Art Unit: 1712

Filing Date: November 3, 2000

Title: NAPHTHOPYRANS WITH A
HETEROCYCLE IN THE 5, 6-POSITION,
PREPARATION, AND (CO) POLYMER
COMPOSITIONS AND MATRICES
CONTAINING THEM.SUBMISSION OF MISSING PARTS & SURCHARGE
UNDER 37 C.F.R. 1.53(d) AND C.F.R. 1.16(e)

COPY

Attn: Application Processing Division
Assistant Commissioner for Patents
Washington, DC 20231

Filed herewith are:

- ☒ Assignment
☒ Declaration
☐ Power of Attorney by Assignee w/Assignment attached
☒ PTO Form 1449
☐ OTHER:

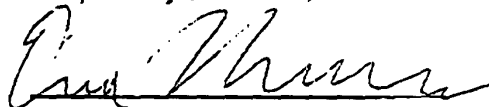
Corning Incorporated hereby authorizes the Asst. Commissioner to charge payment of any fees associated with this application or credit any overpayment to Corning Incorporated Deposit Account 03-3325. A duplicate copy of this sheet is enclosed.

DATE: 3/23/2001Date of Deposit: 3-23-01

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

Signature Colleen E. Doherty
Colleen Doherty

Respectfully submitted,



Attorney for Assignee
Edward F. Murphy
Reg. No. 38,251
Corning Incorporated
SP-TI-03
Corning, NY 14831
(607) 974-3716



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov**COPY**

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/706,122	11/03/2000	Olivier Breyne	Breyne 9

Coming Incorporated
SP-TI-3
Coming, NY 14831

FORMALITIES LETTER

OC000000005704450

Date Mailed: 01/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s):

Docket No.

015.00390 (BREYNE 9)

Serial No.

09/706,122

Filing Date

November 3, 2000

Examiner

Unknown

Group Art Unit

1712

Invention:

NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEM

I hereby certify that this Copy of the returned postcard bearing a date stamp of March 26, 2001 (1 page)
(Identify type of correspondence)

is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-308-7751)

on July 3, 2002
(Date)

Peter Rogalskyj

(Typed or Printed Name of Person Signing Certificate)


(Signature)

Note: Each paper must have its own certificate of mailing.

Division PT Date 3-23-01
INVENTOR ROGALSKYJ
CASE No. 7-3
SERIAL No. 39/736,121
FILED 11-3-00
ATTORNEY PT

The Patent and Trademark Office acknowledges and has stamped
hereon the date of receipt of the items checked below:

☐ _____ PAGES, PROVISIONAL APPLICATION PAPERS
☐ _____ PAGES, APPLICATION PAPERS
TOTAL CLS. _____ FEE \$ _____

☐ _____ SHEETS OF DRAWINGS

FORMAL/INFORMAL FIGURE NOS. _____

☒ ASSIGNMENT

☒ DECLARATION/OATH

☐ POWER OF ATTORNEY

☐ PRIOR ART STATEMENT

☐ LETTER - CHARGE DEPOSIT ACCOUNT

☐ AMENDMENT

☐ RESPONSE

☐ ISSUE FEE

☐ CERT. OF CORR.

☐ NOTICE OF ERROR

☐ REQUEST

☐ DEMAND

☐ INVITATION TO CORRECT

☐ _____

☐ _____

☐ _____

☐ _____

EXPRESS MAIL # _____

ET-149137

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s):

Docket No.

015.00390 (BREYNE 9)

Serial No.
09/706,122Filing Date
November 3, 2000Examiner
UnknownGroup Art Unit
1712

Invention:

NAPHTHOPYRANS WITH A HETEROCYCLE IN THE 5,6-POSITION, PREPARATION, AND (CO)POLYMER COMPOSITIONS AND MATRICES CONTAINING THEMI hereby certify that this Copy of a redacted Monthly Statement of Deposit Account, dated 3-30-01 (1 page)
(Identify type of correspondence)is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-308-7751)On July 3, 2002
(Date)Peter Rogalskyj

(Typed or Printed Name of Person Signing Certificate)


(Signature)

Note: Each paper must have its own certificate of mailing.